

FORM PTO-1399  
OFFICE  
(REV. 10-95)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371333/VB  
U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

09/744271

INTERNATIONAL APPLICATION NO.  
PCT/US98/15852INTERNATIONAL FILING DATE  
30 July 1998

PRIORITY DATE CLAIMED

## TITLE OF INVENTION

Hair Conditioning Composition Comprising Carboxylic Acid/Carboxylate Copolymer and Amphoteric Conditioning Agent

## APPLICANT(S) FOR DO/EO/US

SAKO, Takashi; COX, Bruce Russell

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application was filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
  - ☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☒ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

"Express Mail" mailing label number

EL483622474US

Date of Deposit

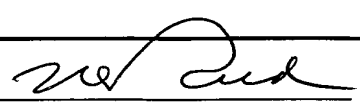
22 Jan. 2001

I hereby certify that this paper/fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Assistant Commissioner of Patents, Washington, D.C. 20231.

Administrator Mailing Application

Signature

Virginia C. Boyd

|   |              |   |           |  |    |
|---|--------------|---|-----------|--|----|
| U.S. APPLICATION NO. (if known)<br><b>09/744271</b>   |              | INTERNATIONAL APPLICATION NO.<br>PCT/US98/15852 |           | ATTORNEY'S DOCKET NUMBER<br>AA333/VB   |    |
|   |              |   |           | CALCULATIONS PTO USE ONLY  |    |
| ENTER APPROPRIATE BASIC FEE AMOUNT =  |              |   |           | \$710  |    |
| Surcharge of \$130.00 for furnishing the oath or declaration later than<br>[ ] 20 [ ] 30 months from the earliest claimed priority date<br>(37 CFR 1.492(e)).   |              |   |           | \$0  |    |
| CLAIMS  | NUMBER FILED | NUMBER EXTRA                                    | RATE      |  |    |
| Total Claims  | 10-20 =      | 0   | x \$18.00 | \$0  |    |
| Independent Claims  | 2-3 =        | 0   | x \$80.00 | \$0  |    |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)   |              |   | \$270.00  | \$0  |    |
| TOTAL OF ABOVE CALCULATIONS =   |              |   |           | \$710  |    |
| Processing fee of \$130.00 for furnishing the English translation later than<br>[ ] 20 [ ] 30 months from the earliest claimed priority date<br>(37 CFR 1.492(f)).  |              |   |           | \$0  |    |
| TOTAL NATIONAL FEE =  |              |   |           | \$710  |    |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The<br>assignment must be accompanied by an appropriate cover sheet (37 CFR<br>3.28,3.31). \$40.00 per property +   |              |   |           | \$0  |    |
| TOTAL FEES ENCLOSED =   |              |   |           | \$710  |    |
|   |              |   |           | Amount to be<br>refunded   | \$ |
|   |              |   |           | charged  | \$ |
| <p>a. [ ] A check in the amount of \$ ____ to cover the above fees is enclosed.</p> <p>b. [ x ] Please charge my Deposit Account No. <u>16-2480</u> in the amount of \$ <u>710</u> to cover the above fees.<br/>A duplicate copy of this sheet is enclosed.</p> <p>c. [ x ] The Commissioner is hereby authorized to charge any additional fees which may be required, or<br/>credit any overpayment to Deposit Account No. <u>16-2480</u>. A duplicate copy of this sheet is<br/>enclosed.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive<br/>(37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> |              |   |           |  |    |
| A. A. Paul, Patent Agent<br>Patent Division – HB3N42 – Box 325<br>The Procter & Gamble Company<br>Sharon Woods Technical Center<br>11510 Reed Hartman Highway<br>Cincinnati, Ohio 45241   |              |   |           | <br>Signature<br>T. David Reed<br>Name<br>32,931<br>Registration Number |    |

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